

#4  
K. W.  
07-0502

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Al Slater, et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: 12/28/01

Group Art Unit:

Title: SYSTEM AND METHOD FOR MANAGING A MOVEABLE MEDIA LIBRARY WITH  
LIBRARY PARTITIONS



COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL315116241US

Date of Deposit 12/28/01

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Jerry L. Mahurin

Respectfully submitted,

Al Slater, et al.

By

Jerry L. Mahurin

Attorney/Agent for Applicant(s)  
Reg. No. 34,661

Date: 12/28/01

**PATENT APPLICATION**

Sheet 1 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>30008195-1</b>	APPLICATION NO.	CONFIRMATION NO.
APPLICANT <b>Al Slat r et al.</b>			
FILING DATE <b>12/28/01</b>		GROUP	

1036 U.S. PTO  
 10/034083  
 12/28/01

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	1L						
	1M						
	1N						
	1O						
	1P						

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	"Sharing Backup Resources," Ralph Cuellar; April 2000
1R	"The Gator Tape Library Family Architecture," John Kranz; October 1999
1S	"Fibre Channel Fundamentals," Tom Weimer

EXAMINER

DATE CONSIDERED

FORM PTO-1449

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

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	2A					
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	"Spectra 12000 User Guide," September 2000
2R	
2S	

EXAMINER

DATE CONSIDERED